PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

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TDANGMITTAL		Application Number	er	10/668,672
TRANSMITTAL	Filing Date		09/23/2003	
FORM		First Named Inven	tor	Samuel I. Stupp
		Art Unit		1645
(to be used for all correspondence after	initial filing)	Examiner Name		
tal Number of Pages in This Submiss		Attorney Docket N	umber	8696
	ENCLO	SURES (check all tha	t apply)	
Fee Transmittal Form	☐ Drawing(s	3)		After Allowance Communication to TC
Fee Attached	Licensing	-related Papers		Appeal Communication to Board
_	Petition	·		of Appeals and Interferences Appeal Communication to TC
Amendment / Reply				(Appeal Notice, Brief, Reply Brief)
After Final		Convert to a al Application		Proprietary Information
Affidavits/declaration(s)		Attorney, Revocation f Correspondence Add	ress	Status Letter
Extension of Time Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):
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Express Abandonment Request	CD, Numi	per of CD(s)		Copies of Non-patent references Transmittal of IDS
Supplemental Information sclosure Statement	☐ Landscape Table on CD			Transmittal of 103
Certified Copy of Priority Document(s)	Remarks			
Reply to Missing Parts/				
Incomplete Application				
Reply to Missing Parts under 37 CFR1.52 or 1.53				
SIG	NATURE OF	APPLICANT, ATTO	RNEY, OI	R AGENT
Firm	Reinhart Boerner Van Deuren s.c.			
Signature	antona M. Molland			
Printed Name	Antonia M. Holland			
Date	3, 2005	Reg. No.	53,840	
	CERTIFICA	TE OF TRANSMISS	ION/MAI	LING
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Date

September 13, 2005

Antonia M. Holland



I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

PATENT APPLICATION

September 13, 2005.
Reinhart Boerner Van Deuren s.c.

By:
Antonia M. Holland

Date: September 13, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP ART UNIT: 1645

EXAMINER: Unknown

Stupp)	
Application No: 10/668,672)	For: SELF-ASSEMBLED PEPTIDE-
Filed: 09/23/2003)	AMPHIPHILES AND SELF- ASSEMBLED PEPTIDE
Confirmation No.: 1645)	NANOFIBER NETWORKS PRESENTING MULTIPLE
Attorney Docket No. 8696)	SIGNALS
Customer No. 22922)	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 September 13, 2005

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 CFR 1.97(b))

NOTE: "An information disclosure statement shall be considered by the Office if filed: (1) within three months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) before the mailing date of a first Office action on the merits, whichever event occurs last." 37 CFR 1.97(b).

NOTE: The "filing date of a national application" under 37 CFR 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 CFR 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41."

37 CFR 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee: (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the International preliminary examination report, if such annexes were made in another language. 37 CFR 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing.
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 CFR 1.8, or Express Mail certificate under 37 CFR 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-inpart) so three months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirement (37 CFR 1.142) or just a requirement for additional fees to have a claim considered (37 CFR 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 CFR 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

Dated: September 13, 2005

Reg. No. 53,840

Tel. No.: (414) 298-8285

SIGNATURE OF ATTORNEY

Antonia M. Holland

Reinhart Boerner Van Deuren, s.c.

(P.O. Address)

1000 North Water Street, Suite 2100 Attn: Linda Gabriel, Docket Clerk

Milwaukee, WI 53202

CUSTOMER NO. 22922

PTO/SB/08A (07-05)

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Substitute for form 1449A/PTO
SUPPLEMENTAL

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known			
Application Number	10/668,672		
Filing Date	09/23/2003		
First Named Inventor	Samuel I. Stupp		
Art Unit	1645		
Examiner Name	Not Known		
Attorney Docket Number	8696		

	U.S. PATENT DOCUMENTS					
Examiner Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
Initials *	Cite No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. There office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. MW/1235351

PTO/SB/08A (07-05)

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SEP 1 5 2005

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

Complete if Known		
Application Number	10/668,672	
Filing Date	09/23/2003	
First Named Inventor	Samuel I. Stupp	
Art Unit	1645	
Examiner Name		
Attorney Docket Number	8696	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2		
		GULER, MUSTAFA, O. et al., "Presentation and Recognition of Biotin on Nanofibers Formed by Branched Peptide Amphiphiles", NANO LETTERS 2005, Vol. 5, No. 2, pages 249-252. Copyright 2005 American Chemical Society, Published on Web 12/29/2004.			
		HARTGERINK, JEFFREY D., et al., "Self-Assembly and Mineralization of Peptide-Amphiphile Nanofibers", www.sciencemag.org, 23 November 21, Vol. 294, pages 1684-1688.			
		SILVA, GABRIEL, A., et al., "Selective Differentiation of Neural Progenitor Cells by High-Epitope Density Nanofibers", www.sciencemag.org , 27 February 2004, Vol. 303, pages 1352-1355.			
		HARTGERINK, JEFFREY D., et al., "Peptide-amphiphile nanofibers: A versatile scaffold for the preparation of self-assembling materials", PNAS, April 16, 2002, vol. 99, no. 8, pages 5133-8138 (www.pnas.org/cgi/doi/10.1073/pnas.072699999).			

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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